

A MEETING of the AUDIT AND RISK COMMITTEE will be held in the COUNCIL CHAMBER, COUNCIL HEADQUARTERS, NEWTOWN ST BOSWELLS on MONDAY, 14 NOVEMBER 2016 at 10.15 am.

**As previously agreed, there will be a meeting of Members of the Committee at 9.30 a.m. prior to the main meeting.**

J. J. WILKINSON,  
Clerk to the Council,

7 November 2016

<b>BUSINESS</b>		
1.	<b>Apologies for Absence.</b>	
2.	<b>Order of Business.</b>	
3.	<b>Declaration of Interest.</b>	
4.	<b>Minute.</b> (Pages 1 - 6)  Minute of Meeting of the Audit and Risk Committee held on 26 September 2016 to be approved and signed by the Chairman. (Copy attached.)	5 mins
5.	<b>External Audit - Introduction to Audit Scotland.</b> (Pages 7 - 22)  Consider reports on Local Government Audits for Scottish Borders Council and Scottish Borders Pension Fund and an Introduction to Audit Scotland reflecting the new appointments of external auditors for 2016/17 to 2020/21. (Copies attached.)	15 mins
6.	<b>Risk Management in Services.</b>  Presentation by Chief Officer HR on the strategic risks facing the service and the internal controls and governance in place to manage / mitigate those risks to demonstrate how risk management is embedded within services. (Verbal presentation.)	30 mins
7.	<b>Scottish Borders Council Roads Expenditure - Report on Maintaining Scotland's Roads.</b> (Pages 23 - 68)  Consider report by Depute Chief Executive Place on the Council's roads expenditure, and a national report published by the Accounts Commission in August 2016 on Maintaining Scotland's Roads. (Copy attached.)	20 mins
8.	<b>Social Work in Scotland.</b> (Pages 69 - 120)	15 mins

	Consider report by the Accounts Commission on Social Work published in September 2016 as part of the series of health and social care reports, and a supplement on Self-assessment Checklist for Council Members. (Copies attached.)	
9.	<b>Progress with Implementation of Internal Audit Recommendations.</b> (Pages 121 - 136)  Consider report by Chief Officer Audit & Risk on the status of the implementation by Management of audit recommendations made and agreed in Internal Audit reports during 2015/16 and previous years. (Copies attached.)	15 mins
10.	<b>Internal Audit Mid Term Performance Monitoring Report 2016/17.</b> (Pages 137 - 148)  Consider a report by Chief Officer Audit & Risk on progress Internal Audit has made during the first half of the year towards completing the Annual Plan 2016/17 and an outline of performance. (Copies attached.)	20 mins
11.	<b>Mid-Term Treasury Management Report 2016/17.</b> (Pages 149 - 168)  Consider mid-term report by Chief Financial Officer on the Council's Treasury Management activities undertaken during first half of financial year 2016/17 for review and scrutiny prior to Council approval. (Copies attached.)	20 mins
12.	<b>Any Other Items Previously Circulated.</b>	
13.	<b>Any Other Items which the Chairman Decides are Urgent.</b>	

#### NOTES

1. **Timings given above are only indicative and not intended to inhibit Members' discussions.**
2. **Members are reminded that, if they have a pecuniary or non-pecuniary interest in any item of business coming before the meeting, that interest should be declared prior to commencement of discussion on that item. Such declaration will be recorded in the Minute of the meeting.**

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**Membership of Committee:-** Councillors M. Ballantyne (Chair), B White (Vice-Chairman), J. Campbell, I. Gillespie, A. J. Nicol, S. Scott. Mr M Middlemiss and Mr H Walpole.

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